

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: LEHMAN BROTHERS HOLDINGS INC.,

Case No. 08-13555

**PARTIAL** TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R.  
Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence  
and notice.

**LIQUIDITY SOLUTIONS, INC.**

Name of Transferee

**RBS COUTTS BANK AG**

Name of Transferor

Name and Address where notices to transferee  
should be sent:

Court Claim # (if known): **45221**  
Partial Amount of Claim: **\$100,000**  
Date Claim Filed: **10/23/2009**

**LIQUIDITY SOLUTIONS, INC.**  
**ONE UNIVERSITY PLAZA, SUITE 312**  
**HACKENSACK, NJ 07601**

Phone: **(201) 968-0001**

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and  
correct to the  
best of my knowledge and belief.

By: /s/Jeff Caress

Transferee/Transferee's Agent

Date: 11/7/2013

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18  
U.S.C. §§ 152 & 3571.

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SOUTHERN DISTRICT OF NEW YORK

In re: LEHMAN BROTHERS HOLDINGS INC.,

Case No. 08-13555

**PARTIAL** TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. **45221** (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on **11/7/2013.**

**LIQUIDITY SOLUTIONS, INC.**

Name of Alleged Transferee

Address of Alleged Transferee:

**One University Plaza, Suite 312  
Hackensack, NJ 07601**

**RBS COUTTS BANK AG**

Name of Transferor

Address of Transferor:

**Stauffacherstrasse 1  
Postfach  
Zurich, 8022  
Switzerland**

~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

23/10 2012 14:25 FAX

004/004

**NOTICE OF PARTIAL TRANSFER**

RBS Coutts Bank AG ("Assignor"), transfers and assigns unto Liquidity Solutions, Inc. with an address at One University Plaza, Suite 312, Hackensack, New Jersey 07601, its successors and assigns ("Assignee"), pursuant to the terms of an ASSIGNMENT OF CLAIM between Assignor and Assignee (the "Agreement"), all of Assignor's right, title and interest in, to and under a portion of claim #45221 [ISIN: XS0295698947, EUR70,000] as set forth in the Agreement against Lehman Brothers Holding, Inc. (the "Debtor"), in the aggregate amount of \$100,000.00 pending against Debtor in the United States Bankruptcy Court, Southern District of New York, jointly administered as Case No. 08-13555.

IN WITNESS WHEREOF, Assignor has signed below as of the 7<sup>th</sup> day of NOV., 2011<sub>3</sub>

RBS Coutts Bank AG

Liquidity Solutions, Inc.

By:

(Signature)

W. H. Schmidt

(Print Name and Title)

By:

(Signature)

Jeff Carress

(Print Name and Title)